

Attorney Docket No. 09792909-5081

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: ) Group Art Unit: 1746  
)  
Yasuhito INAGAKI ) Examiner: Michail Kornakov  
)  
Application No.: 09/905,662 )  
)  
Filed: July 13, 2001 )  
) Confirmation No.: 5976  
For: SUBSTRATE CLEANING METHOD )  
AND SUBSTRATE CLEANING )  
APPARATUS )

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER  
MAILING DATE OF FINAL ACTION (37 C.F.R. § 1.97(d))**

The information disclosure statement transmitted herewith is being filed *after* a final action under Section 1.113. Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(1). A copy of the European Search Report, mailed on October 31, 2005, is enclosed. Also enclosed are copies of references listed in the statement under cite numbers 3-5. The remaining references cited in the European Search Report have already been cited by the Office.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the

11/28/2005 ZJU HAR1 00000020 09905662

01 FC:1806

180.00 OP

information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Applicants submit herewith a credit card payment form in the amount of \$180.00 for the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(d).

The Commissioner is hereby authorized to charge any required fee from Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

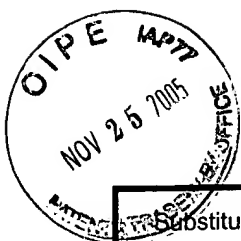
Respectfully submitted,



Dated: November 21, 2005

---

Mickki D. Murray  
Registration No. 56,846  
SONNENSCHN NATH & ROSENTHAL LLP  
P.O. Box 061080  
Wacker Drive Station, Sears Tower  
Chicago, Illinois 60606-1080  
(314) 259-5909



<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/905,662
				Filing Date	July 13, 2001
				First Named Inventor	Yasuhito Inagaki
				Art Unit	1746
Sheet	1	of	1	Examiner Name	Michail Kornakov
				Attorney Docket Number	09792909-5081

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US 5,976,988	11-02-1999	Konuma et al.	
	2	US 5,722,441	03-03-1998	Teramoto	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	3	JP 60-114579	06-21-1985	Hitachi Ltd		<input type="checkbox"/>
	4	JP 59-046032	03-15-1984	Hitachi Ltd		<input type="checkbox"/>

OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS			
Examine r Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	5	KASHKOUSH et al., "In-Situ Chemical Concentration Control for Wafer Wet Cleaning," Journal of the IEST, May/June 1998, pp. 24-30.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

23186468\V-1

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.